

Sequential Intercept Model-Mapping and Strategic Planning Workshop

South Dakota Problem-Solving Courts Statewide Conference

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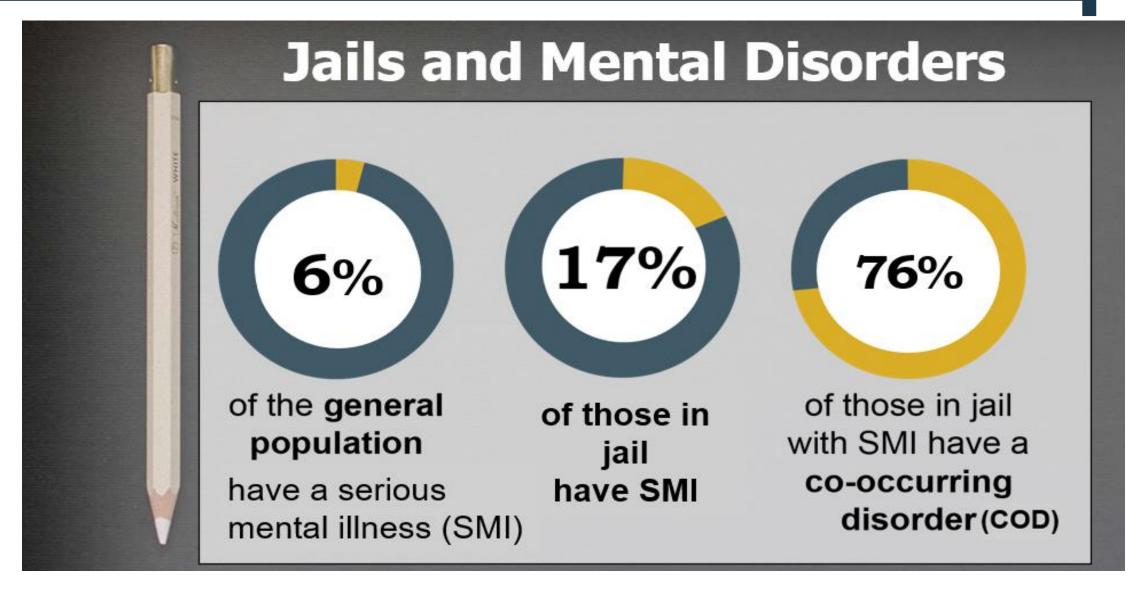




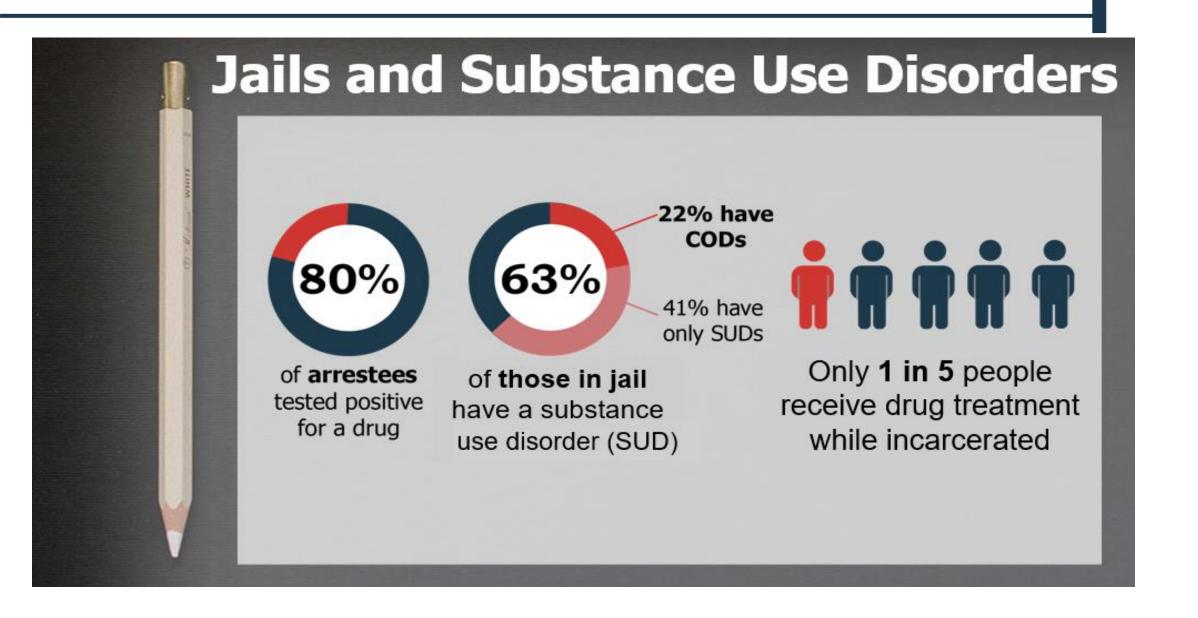
SAMHSA's GAINS Center

- SAMHSA's GAINS Center for Behavioral Health and Justice
 Transformation focuses on expanding access to services for people
 with mental and/or substance use disorders who come into contact
 with the justice system.
- SAMHSA's GAINS Center is operated by Policy Research Associates, Inc. in Troy, New York.
- The views expressed today do not necessarily represent the opinions of the Substance Abuse and Mental Health Services Administration.





(Sources: National Survey of Drug Use and Health, 2021; Steadman et al., 2009; Teplin, Abram, & McClelland, 1996; Teplin, 1990; Abram, Teplin, & McClelland, 2003)



Trauma and the Justice System

Any Physical or Sexual Abuse (N=2,122)

	Lifetime	Current
Female	95.5%	73.9%
Male	88.6%	86.1%
Total	92.2%	79.0%

Acquired Brain Injury (ABI) and the CJS

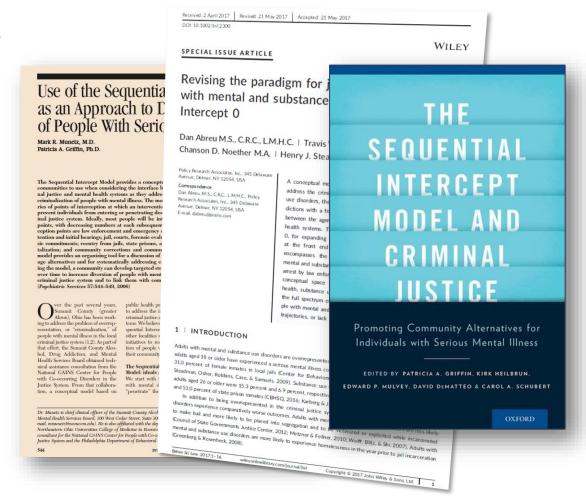
- Often under identified/misidentified due to lack of training
- Increased risk of false confession or an unknowing waiver of rights
- More likely to have disciplinary problems; longer incarceration due to rule violations
- Likely to have co-occurring disorders; significantly greater risk for opioid addiction/overdose
- Likely to experience homelessness

CRIMINAL AND JUVENILE JUSTICE BEST PRACTICE GUIDE: **INFORMATION AND TOOLS FOR STATE BRAIN INJURY PROGRAMS**

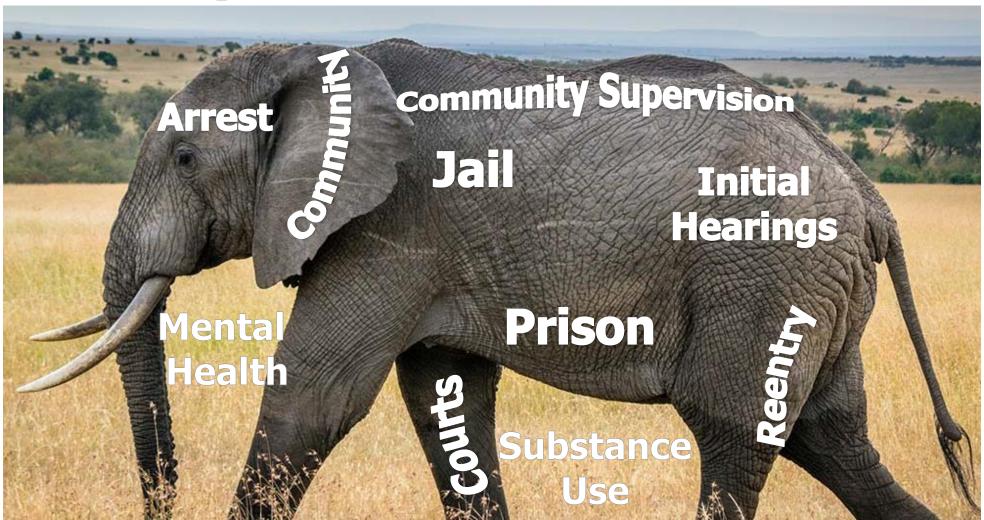
(Sources: James & Glaze, 2006; Council of State Governments Justice Center, 2012; Pinals et al., 2017; AAIDD, 2014)

Sequential Intercept Model

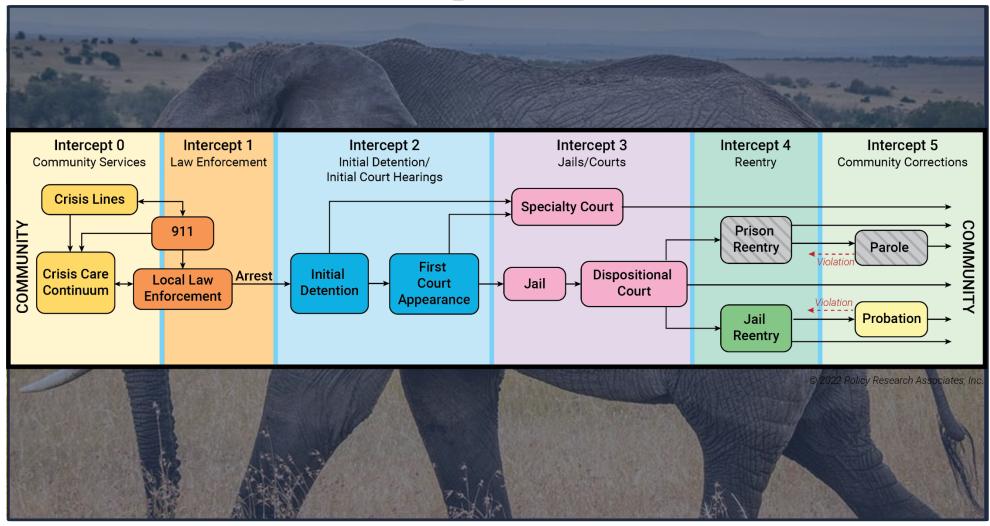
- People move through the CJS in predictable ways
- Illustrates key points, or intercepts, to ensure:
 - Prompt access to treatment
 - Opportunities for diversion
 - Timely movement through the CJS
 - Engagement with community resources



The "Unsequential" Model



Sequential Intercept Model

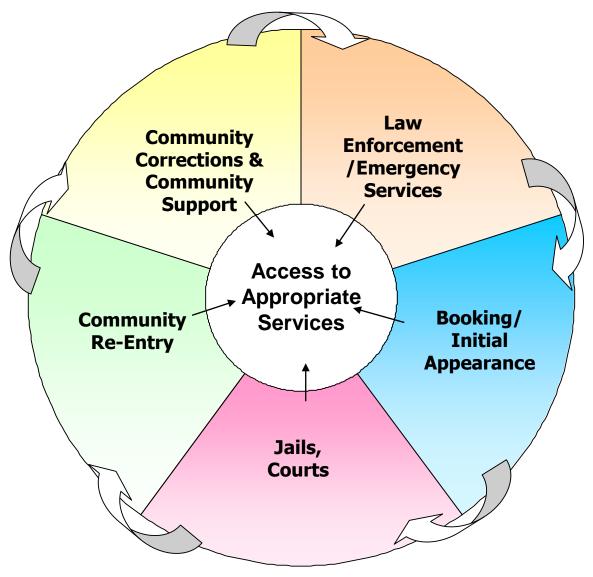


The Filter Model

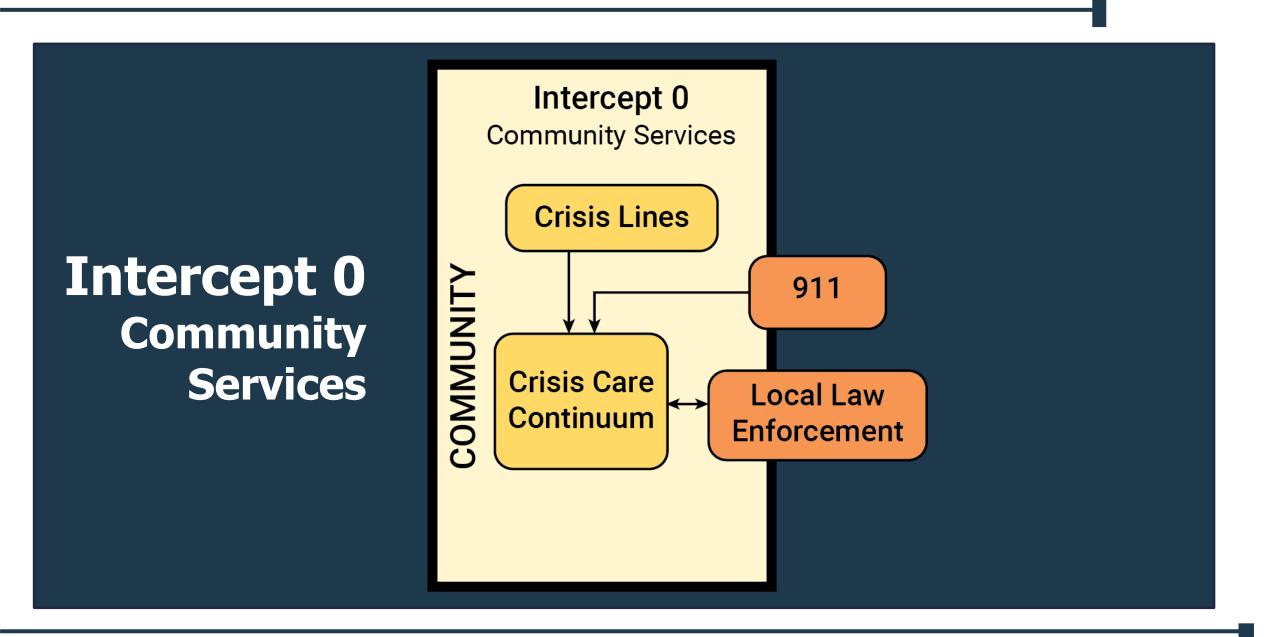
0. Best Clinical Practices: The Ultimate Intercept

I. Law Enforcement/Emergency Services II. Post-Arrest: Initial Detention/Initial Hearings III. Post-Initial Hearings: Jail/Prison, Forensic Evaluations & Forensic Commitments IV. Reentry from Jails, State Prisons, & Forensic Hospitalization V. Community Corrections & Community

(Source: Munetz & Griffin, 2006)

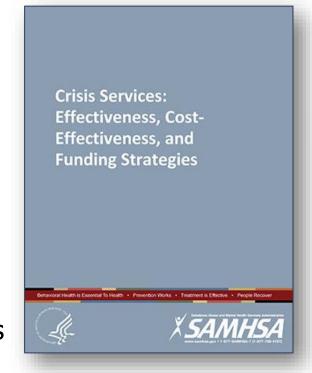


(Source: Munetz & Griffin, 2006)



Crisis to Stabilization Care Continuum

- Mobile Crisis Outreach/Police co-response
- 24/7 Walk-in/Urgent Care w/connectivity
- ER Diversion and Peer Support/Navigators
- Crisis Stabilization 16 beds, 3-5 days
- Crisis Residential 18 beds, 10-14 days
- Crisis Respite Apartment-style 30 days
- Transition Residential Apartment-style 90 days
- Peer Respite Residential
- Critical Time Intervention: up to 9 months





SAMHSA – Five-year vision for 9-8-8



Horizon 3: Stabilization services¹

"A safe place for help"

Horizon 2: Mobile crisis services1

"Someone to respond"

Horizon 1: Crisis contact centers1

"Someone to talk to"

90%+ of all 988 contacts answered in-state [by 2023]²

80%+ of individuals have access to rapid crisis response [by 2025]

80%+ of individuals have access to community-based crisis care [by 2027]

Underlying principles

Provide individuals experiencing suicidal, mental health, and substance use crises, and their loved ones, with caring, accessible, and high-quality support

Ensure integrated services are available across the crisis care continuum, supported through strong partnerships (e.g., State, Territorial, Tribal, Federal)

Provide "health first" responses to behavioral health crises and ensure connection with appropriate levels of care

Integrate lived experiences and support of populations at high risk of suicide, such as Veterans, LGBTQ, BIPOC, youth, & people in rural areas

Advance equitable access to crisis services for underserved communities, with a focus on Tribes and Territories

^{1.} Inclusive of intake, engagement, and follow-up

^{2.} Proportion may differ with chat/text vs. calls

Crisis Stabilization Deep Dive: 2016

Mecklenburg County (Charlotte), NC

PRE-CRISIS (PREVENTIVE)

CRISIS, NOT EMERGENCY

EMERGENCY

POST-CRISIS OR EMERGENCY

National Alliance on Mental Illness

Family and consumer education, resource information, and advocacy

Monarch Walk-in Clinic

Evaluations, medication management, therapy

Anuvia Prevention and Recovery Center

Detox Services 24/7/365 Social Detox

Amara Wellness Walk-in Clinic

Evaluations, medication management, therapy

Promise Resource Network

Recovery Hub

Urban Ministry

Homeless diversion w/street outreach

Charlotte Community Based Outpatient Clinic

Charlotte Health Care Clinic

For Veterans
Individual, group, family counseling

Charlotte Vet Center

Range of social and psychological services

Davidson LifeLine

Crisis hotline, training

National Alliance on Mental Illness

Family/consumer education, resource recommendations, advocacy Family/consumer support thru crisis

Cardinal Innovations Call Center

Crisis referral/info 24/7/365

Mobile CriSys

24/7/365 Assess, triage, refer

Monarch Walk-in Clinic

Evaluations, medication management, therapy

Amara Wellness Walk-in Clinic

Evaluations, medication management, therapy

Anuvia Prevention and Recovery Center

Detox Services 24/7/365 Social Detox

911 Dispatch

Over 100 Telecommunicators 16-hr Crisis Intervention Team (CIT) training

Cardinal Innovations Call Center

Crisis referral/info 24/7/365

MEDIC

24/7/365 Assess, triage, transport

Carolinas Healthcare System

Behavioral Health – Charlotte

24/7/365 Psychiatric Emergency Department Inpatient unit Observation unit

Behavioral Health – Davidson

Psychiatric hospital

Presbyterian Hospital

Acute Care Emergency
Department
Behavioral health beds
Child/adolescents unit

Mobile CriSys

24/7/365 Assess, triage, refer

Charlotte Mecklenburg Police Department

40-hr Crisis Intervention Team training (CIT) CIT Mental Health Clinician Mental Health First Aid

Mecklenburg County Sheriff's Office

40-hr Crisis Intervention Team training

Municipal and College Police Departments Probation

Central Regional Hospital Broughton Hospital

Recovery Advocacy

Promise Resource Network; Mental Health America; National Alliance on Mental Illness

National Alliance on Mental Illness

Family and consumer education, resource info, and advocacy
Support groups
Recommendations for on-going recovery support

Promise Resource Network

Recovery Hub
Peer support transition from inpatient setting

Peer Bridger Program

Transition from Hospital and Jail
Peer support transition from inpatient setting

HopeWay

Residential treatment
Day treatment
Two transitional living centers

Charlotte Community Based Outpatient Clinic

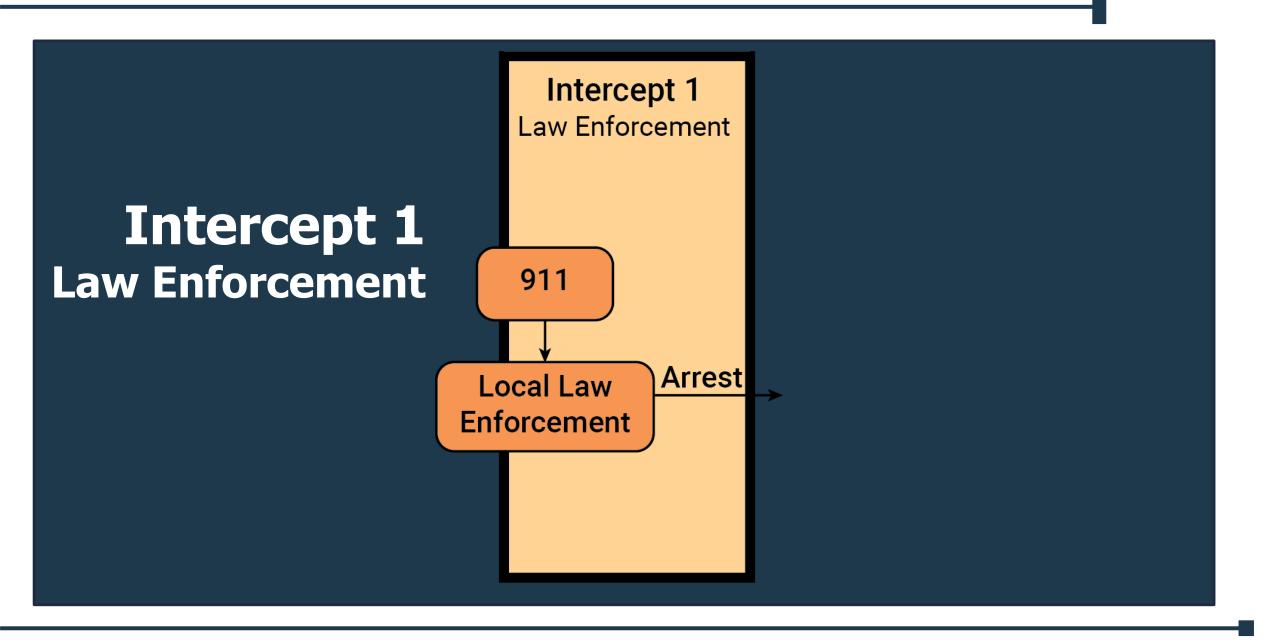
Charlotte Health Care Clinic

For Veterans Individual, group, family counseling

Mecklenburg County Reentry Services

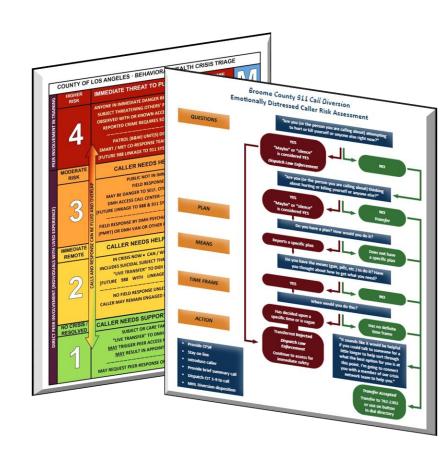
For Formerly Incarcerated Individuals

Housing, employment, educational support; refer to mental health/substance abuse provider for appointments



9-1-1: Asking Specifically About BH?

- Does this call involve anyone with mental health issues?
 - If No, proceed with call-slip processing
- If Yes, the following questions are to be asked and the responses added to the call-slip:
 - Does the individual appear to pose a danger to him/herself or others?
 - Does the person possess or have access to weapons?
 - Are you aware of the person's MH or SA history?



Law Enforcement/Emergency Services Models

- Crisis Intervention Teams (CIT)
 - Community partnership
 - 40-hour training
 - Accessible, responsive crisis care system
- Co-Responder Model
 - Mental health professionals employed by, or working along side police department
 - LAPD MEU: CAMP, SMART;
 Triage Unit
 - Early Diversion: Boulder; Knoxville
 - Houston PD MH Division
 - Pima County MHIST
 - Denver CIRU

- Off-site support
 - Telephone support to on scene officers (Hawaii, Fort Worth)
 - Video conference support to on scene officers (Lincoln, NE, Springfield, MO)
- Mobile mental health crisis teams
- Specialized EMS Response
 - Ambulance/Fire specialized MH training/co-response (Atlanta, Wake Co, NC, Denver)

Essential Elements for Police Diversion

- Central drop off
 - Co-location with SUD services

- Police-friendly policies
 - No refusal policy
 - Streamlined intake

- Cross-training
 - Ride-alongs
- Community linkages
 - Case management
 - Care coordination
 - Co-response or warm hand-off
 - Post-crisis stabilization and follow-up services

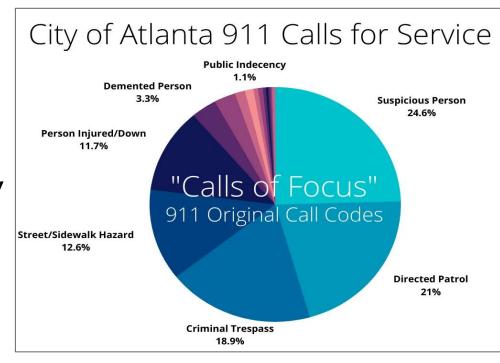


- Law Enforcement Assisted Diversion (LEAD)
 - Low-level drug offenses (Seattle)
 - Police Assisted Addiction Recovery Initiative (PAARI)

- Human Trafficking Diversion
 - Prostitution
- Drug/Opioid Diversion
- Homelessness Diversion

Reimagining Response

- Atlanta 911 call analysis = 311 referral line for quality concerns, Policing Alternatives & Diversion (PAD) Harm Reduction teams (similar analysis in MI, CT, MN, LA, OR, CA, WA, & AZ cities, CFAP, 2020)
- Denver: STAR: based on CAHOOTS, pairs MH clinician/paramedic
- San Francisco: Fire Dept. paramedic, psychologist/social worker, & peer specialist mobile teams for MH calls
- Tompkins Co, NY: unarmed, civilian-led Dept. of Community Solutions and Public Safety for non-violent call types
- Albuquerque: new Community Safety Department as 3rd dispatch option (social workers, peers, clinicians, etc.)



SAMHSA on Harm Reduction

Harm reduction is an approach that emphasizes engaging directly with people who use drugs to prevent overdose and infectious disease transmission, improve the physical, mental, and social wellbeing of those served, and offer low-threshold options for accessing substance use disorder treatment and other healthcare services.

SAMHSA on Harm Reduction

Harm reduction organizations incorporate a spectrum of strategies that meet people "where they are" on their own terms, and may serve as a pathway to additional prevention, treatment, and recovery services. Harm reduction works by addressing broader health and social issues through improved policies, programs, and practice.

SAMHSA 2022

https://www.samhsa.gove/find-help/harm-reduction

Harm Reduction Practices

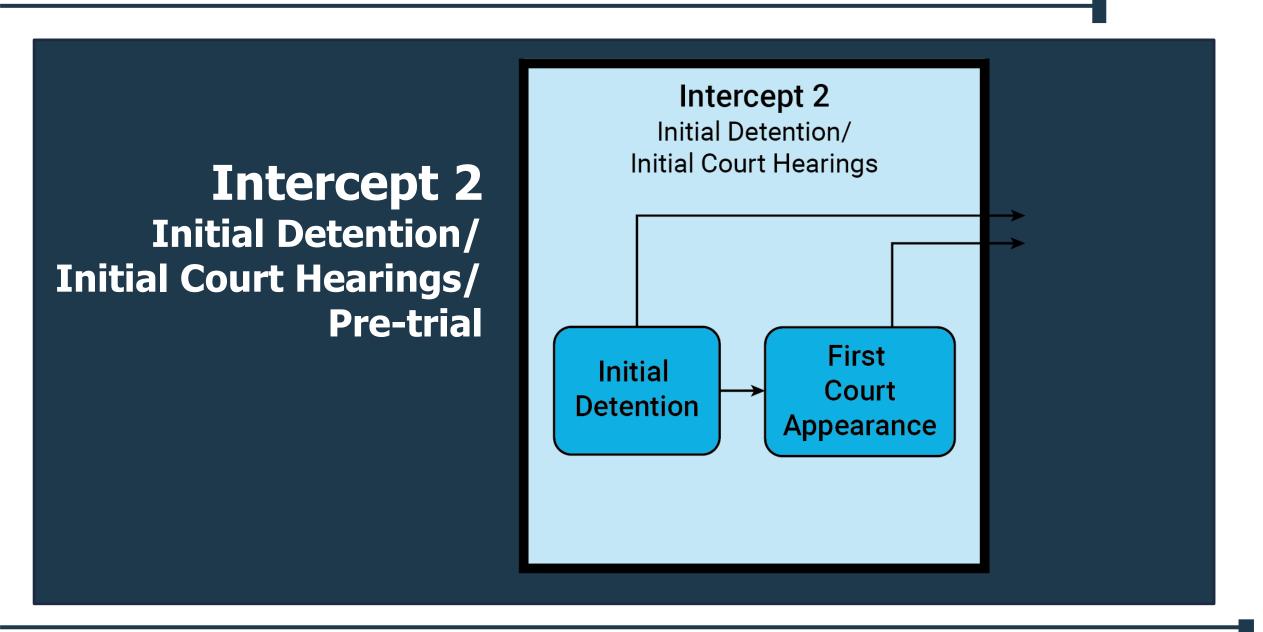
- 1-Overdose prevention education: risk factors, all available harm reduction services, etc.
- 2-Medication for addiction treatment
- 3-Access to Narcan/Naloxone kits and fentanyl test strips
- 4-Psychoactive substances used to treat addiction or MH disorder (other than MAT)

Harm Reduction Practices

5-Controlled or safer, yet continuing substance use as a final goal of treatment

6-Syringe service programs-needle exchange; sterile injection or smoking equipment

7-Safe injection sites or sanctuaries



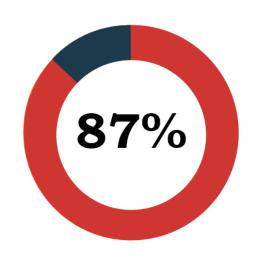
Importance of Intercept 2 Diversion

2013 study of pretrial detention in Kentucky (N=155,000)

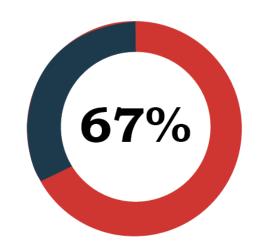
- When held 2-3 days, low-risk defendants 40% more likely to commit crimes before trial
- When held 8-14 days, low-risk defendants are 51% more likely to commit crimes 2 years after case disposition

Detention of **low** and **moderate-risk** defendants increases their rates of new crimes

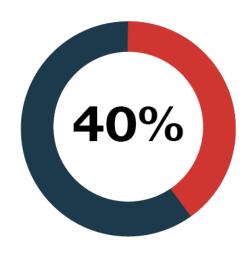
NACo Analysis of Jail Populations



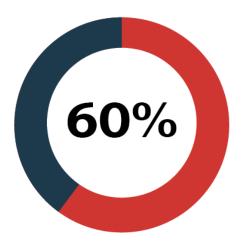
of jails are owned by **counties**



of confined jail population is **pretrial**



of jails use a risk assessment



of jail population **assessed "low risk"**among jails that use

risk assessments

(Source: Ortiz, 2015)

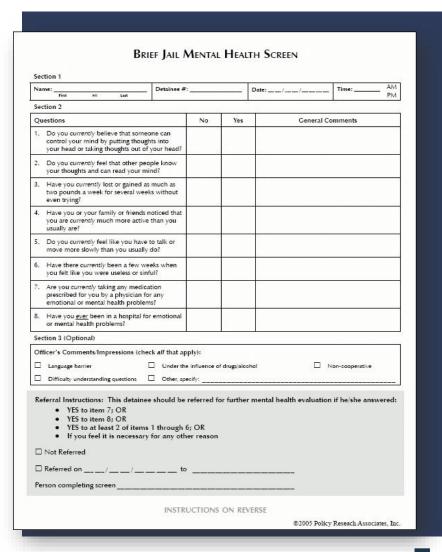
Sample Mental Health Screens

- Brief Jail Mental Health Screen (BJMHS)
 - Designed for correctional officers to administer at booking
 - Correctional Mental Health Screen (CMHS)
 - Separate versions for men and women
 - Mental Health Screening Form III (MHSF-III)
 - Designed for people being admitted into substance use treatment

Brief Jail Mental Health Screen

- 3 minutes at booking by corrections officer
- 8 yes/no questions
- General, not specific mental illness
- Referral rate: 11%
 - Correctly classified 73% of men
 - Correctly classified 61% of women

(Source: Steadman et al., 2005)



Substance Use Screenings, Assessments, and Interventions

- SAMHSA's Screening & Assessment of Co-Occurring Disorders in the Justice System (2016)
- Screening, Brief Intervention, and Referral to Treatment (SBIRT)
 - SAMHSA's Systems-Level
 Implementation of SBIRT (2013)



(Sources: Peters & Bartoi, 2016; Substance Abuse and Mental Health Services Administration, 2013)

Recommended Substance Use Screens

- Texas Christian University Drug Screen-V
 - Past 12-month use based on DSM-V criteria; 17 items
 - Also Opioid Supplement & other language versions
 - Consider combining with the AUDIT for alcohol use
- Simple Screening Instrument for Substance Abuse
 - Past 6-month alcohol and drug use; 16 items
 - Considering combining with the AUDIT for alcohol use
- Alcohol, Smoking, and Substance Involvement Screening Test
 - Screens for lifetime use, current use, severity of use, and risk of IV use.
 Available from the World Health Organization and NIDA

Suicide Prevention Screening

- Safety Planning
 - Warning signs
 - Coping strategies
 - Identify social supports
 - Link to MH care
 - Minimize barriers to treatment
 - Remove access to means
- 1-hour brief intervention

(Source: The National Institute of Mental Health, 2015-2019)

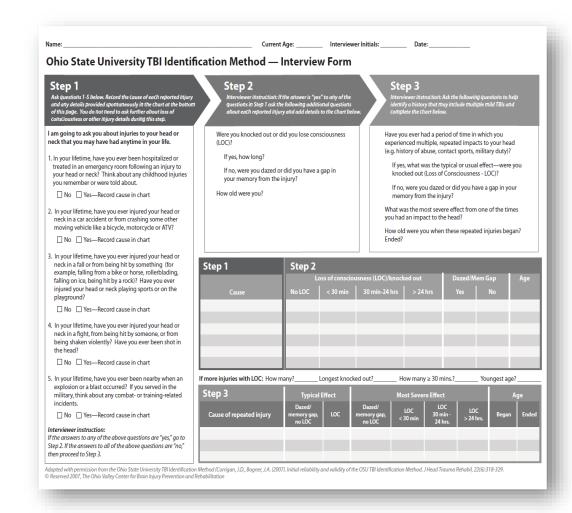
SuicidePreventionLifeline.org

Step 1:	Warning signs (thoughts, images, mood, situation, behavior) that a crisis may be developing:
1.	истегорину.
3	
Step 2:	Internal coping strategies – Things I can do to take my mind off my problems without contacting another person (relaxation technique, physical activity):
1.	Thinbut conditing another person (Camadon Cennique) prijoten den 1971.
2	
Step 3:	People and social settings that provide distraction:
	Phone_
	Phone_
3. Place_	4. Place
Ston 1:	People whom I can ask for help:
•	
	Phone Phone
	Phone Phone
). Ivallie	Thore
Step 5:	Professionals or agencies I can contact during a crisis:
1. Clinici	an NamePhone_
	an Pager or Emergency Contact #
	an NamePhone
Clinici	an Pager or Emergency Contact #
3. Local	Jrgent Care Services
	t Care Services Address
	t Care Services Phone
1. Suicid	e Prevention Lifeline Phone: 1-800-273-TALK (8255)
Step 6	Making the environment safe:
•	•
1	
2	Template @2008 Barbara Stanky and Gregory K. Brown, is reprinted with the express permission of the authors. No portion of the Safety Plan Template may be reproduced

Traumatic Brain Injury (TBI) Screening

In your lifetime, have you ever...

- 1. Been hospitalized or treated in an emergency room following an injury to your head or neck?
- 2. Injured your head or neck in a car accident or from crashing some other moving vehicle, like a bicycle, motorcycle, or ATV?
- 3. Injured your fall or from being hit by something?
- 4. Injured your head or neck in a fight, from being hit by someone, or from being shaken violently?
- 5. Been nearby when an explosion or blast occurred?



Identification and Referral of Veterans

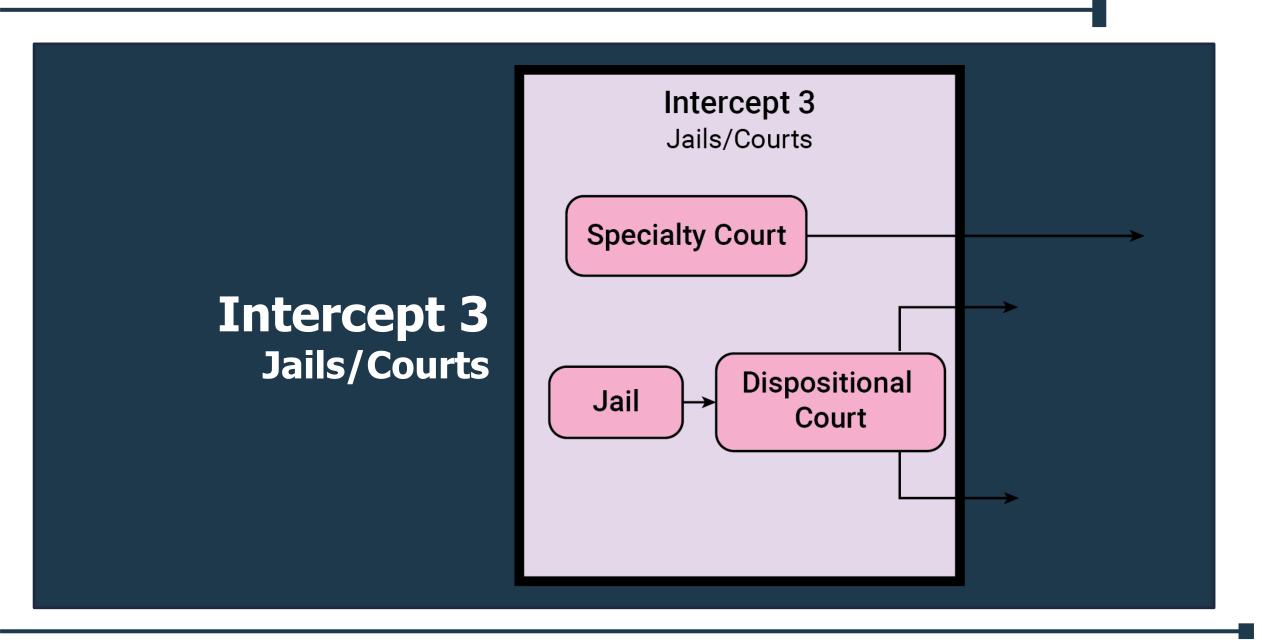
Veterans Reentry Search Service (VRSS)

VA's web-based system to allow prison, jail, and court staff to quickly and accurately identify Veterans among their populations

https://vrss.va.gov/

Veteran Justice Outreach (VJO) Program





Jails and Courts

- In-jail Services
 - Assessment of in-custody needs
 - Access to medications, MH services, and SU services
 - Communication with community-based providers
- Specialty/Treatment Courts
 - Drug/DUI courts, mental health courts, veterans court,
 DV, Tribal Wellness courts, reentry courts, etc.

Treatment Courts in the U.S.

Adult Treatment Courts	
Drug Court	1,834
DWI/DUI Court	289
Drug/DUI Hybrid Court	302
Adult COD Court	28
Family Drug Treatment Court	345
Veterans Treatment Court	511
Mental Health/Tribal Healing to Wellness Court	554
Reentry Court	59
Drug Court	1,834

Juvenile Tx Courts	
Drug Court	309
COD	11
MH/Wellness	43
Other	26

(Sources: National Drug Court Resource Center, 2021; SAMHSA's GAINS Center, 2023; US Department of Veterans Affairs, 2022; National Highway Traffic Safety Administration, 2022)

Consequences Courts Must Consider

- Employment/Ban the Box
- Housing
- Voting
- Driver's License

- Student Loans
- Temporary
 Assistance
 for Needy Families
- Food Stamps



Medication-assisted Treatment (MAT)

FDA—approved Medication for Substance Use Treatment and Tobacco Cessation

Medications for **Alcohol** Dependence

Naltrexone (ReVIa®, Vivitrol®, Depade®)
Disulfiram (Antabuse®)
Acamprosate Calcium (Campral®)

Medications for **Opioid** Dependence

Methadone
Buprenorphine (Suboxone®, Subutex®, and Zubsolv®)
Naltrexone (ReVia®, Vivitrol®, Depade®)

Medications for **Smoking** Cessation

SAMHSA and HRSA Integrated Solutions http://www.samhsa.gov/medication-assisted-treatment

Varenicline (Chantix®)
Bupropion (Zyban® and Wellbutrin®)
Nicotine Replacement Therapy (NRT)

Critical Issue: Abstinence Requirement

 The abstinence definition in treatment courts is avoiding the self-prescribed or recreational use of all potentially addictive, intoxicating, or mood-altering substances.

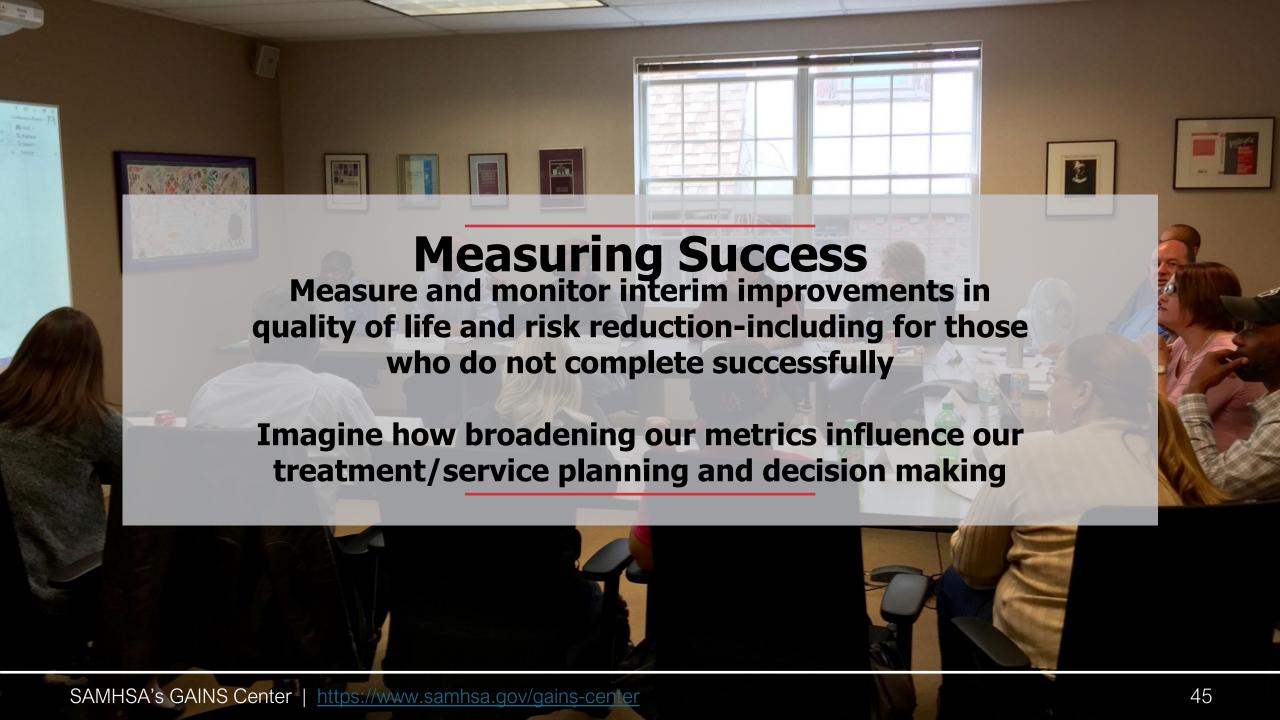
 Self-prescribed indicates that participants can't use anything not prescribed by the doctor. Avoiding recreational use means that, even if prescribed by the doctor, participants may not use or misuse it to get high. This includes all such substances, not just the category to which the participant is addicted.

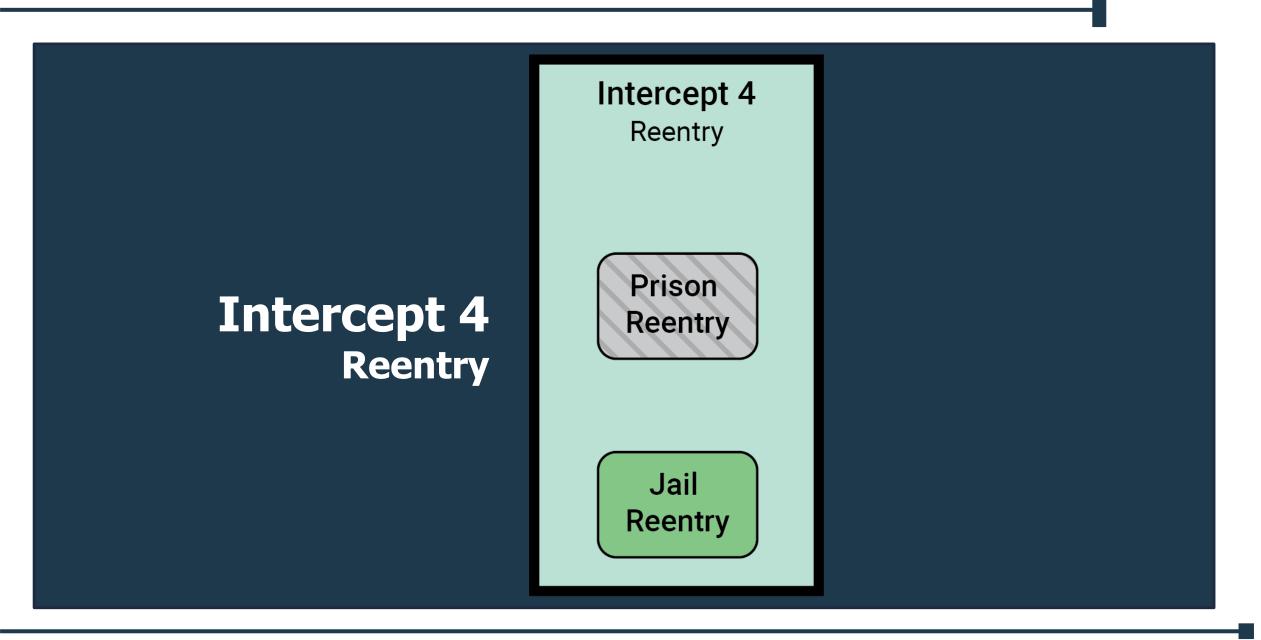
Harm Reduction

 Positions and issues that may be at odds with treatment court best practices: 1-Person-centered, noncoerced treatment; no forced abstinence

2-No sanction for substance use, especially jail sanctions

3-Limited and non-observed drug testing





Reentry is a Matter of Life and Death

- Study of 30,000 people released from WA prison (2007)
 - 443 died during follow-up period of 1.9 years
 - Death rate 3.5 times higher than general population
 - Primary causes of death
 - Drug overdose (71% of deaths)
 - Other: heart disease, homicide, and suicide
- Post-release death by suicide nearly 3 times higher than jail deaths

(Source: Noonan, Rohloff, & Ginder, 2015)

The APIC Model of Transition Planning

Assess the clinical, social needs, and public safety risks

Plan

Plan for the treatment & services required to address the needs

Identify

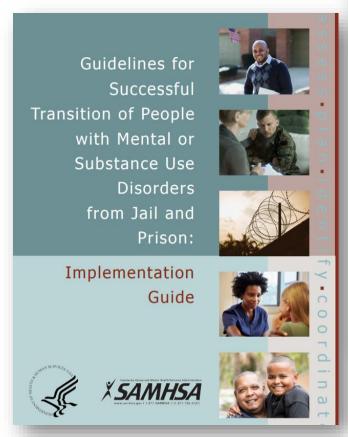
Identify required community & correctional programs responsible for post-release services

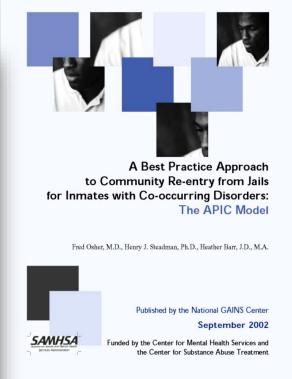
Coordinate

Coordinate the transition plan to ensure implementation and avoid gaps in care with community-based services

APIC Model Transition Guidelines

- SAMHSA's 10 guidelines for effective transition planning based on the APIC model
- Best practices of APIC model

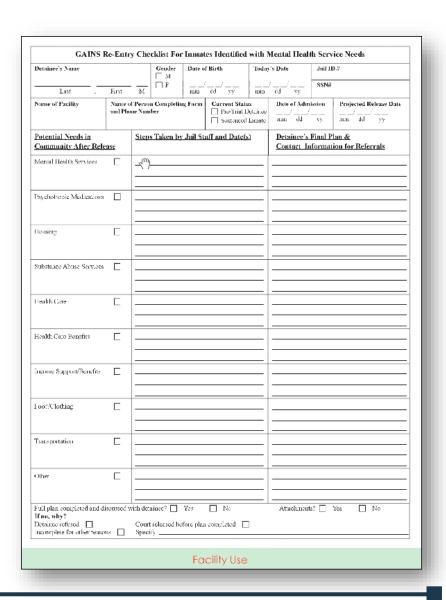




(Sources: Blandford & Osher, 2013; Osher, Steadman & Barr, 2003)

GAINS Reentry Checklist

- Based on APIC model
- Assist jails in re-entry planning
- Quadruplicate form
- Surveys potential needs
- Steps taken to address



GAINS Reentry Checklist Domains

- Mental health services
- Psychotropic medications
- Housing
- Substance abuse services
- Health care

- Healthcare benefits
- Income support/benefits
- Food/clothing
- Transportation
- Other (often used for child care needs of women)

Peer Support/Care Coordination is Critical

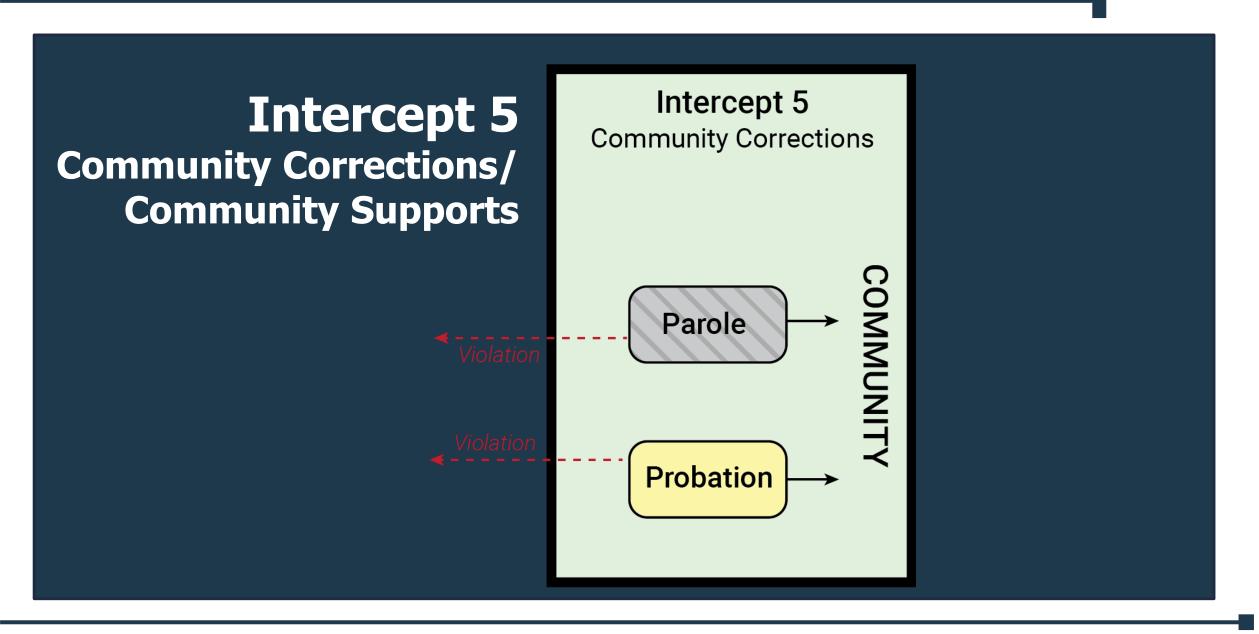
Multiple Needs

- Mental health
- Medications
- Housing
- Substance abuse
- Health
- Income support/benefits
- Food/clothing
- Transportation
- Other (often used for child care needs of women)

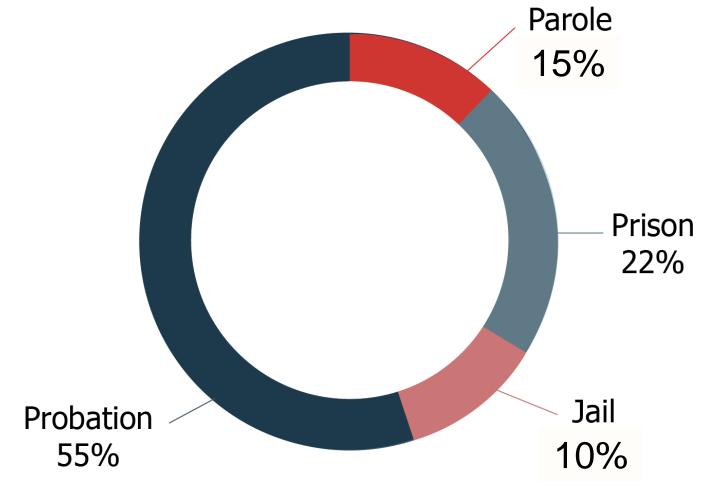


Multiple Systems

- Mental health services
- Substance use services
- Health services
- Food, clothing
- Medicaid
- SSA
- Veterans benefits
- Parole/probation
- Housing
- Transportation



5.5 Million Under Correctional Supervision

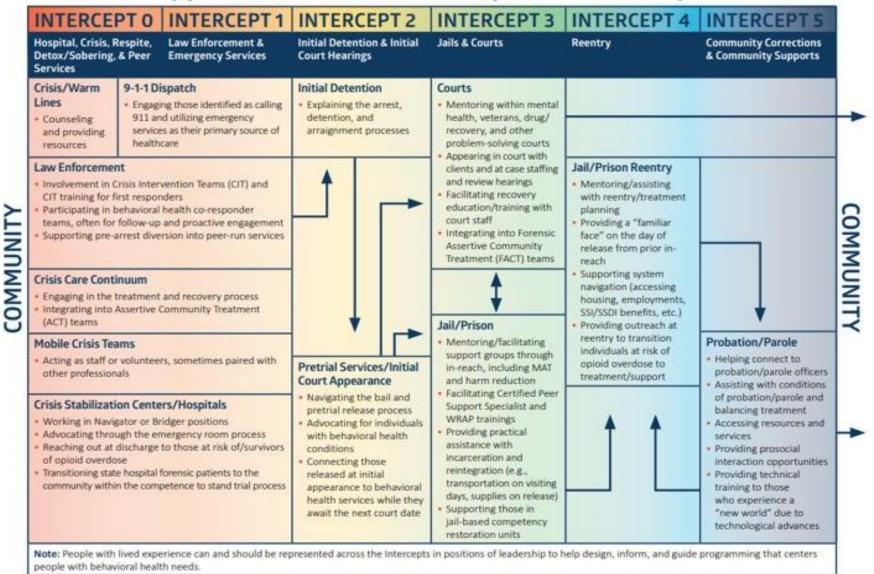


(Source: Kluckow & Zeng, 2022)

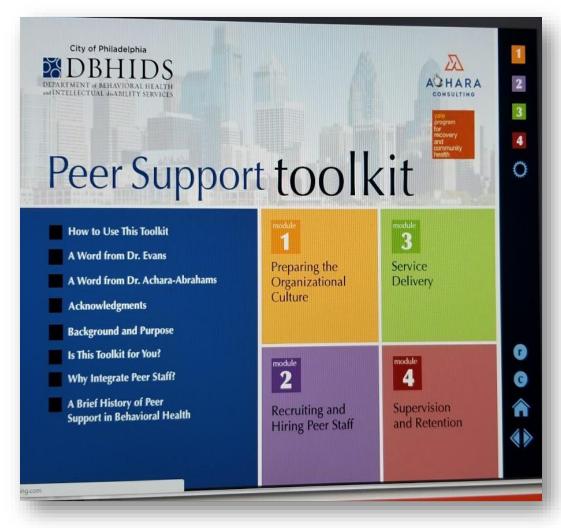
Specialized Caseloads: Promising Practice

- Rely on an effective partnership between supervising probation officers and treatment providers
- Benefits
 - Improves linkage to services
 - Improves functioning
 - Reduces risk of violation
- Probation best practices: validated assessment tools, training for officers, including Motivational Interviewing and building cognitive skills, case planning, & a focus on criminogenic risks

Peer Support Roles Across the Sequential Intercept Model



Peers/Recovery Support



- Improves quality of life
- Strengthens engagement and satisfaction with services/supports
- Enhances whole health, including chronic conditions like diabetes
- Decreases hospitalizations and inpatient days
- Reduces the overall cost of services

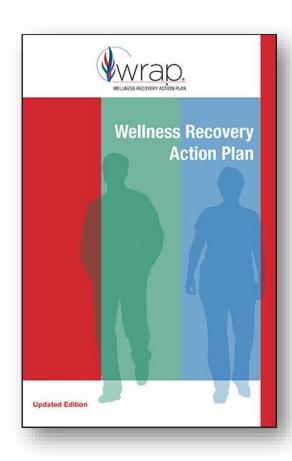
Peer support empowers people to make the best decisions for them and to strive towards their goals in their communities.

WRAP: Individuals Know Themselves Best

Components of WRAP Plans

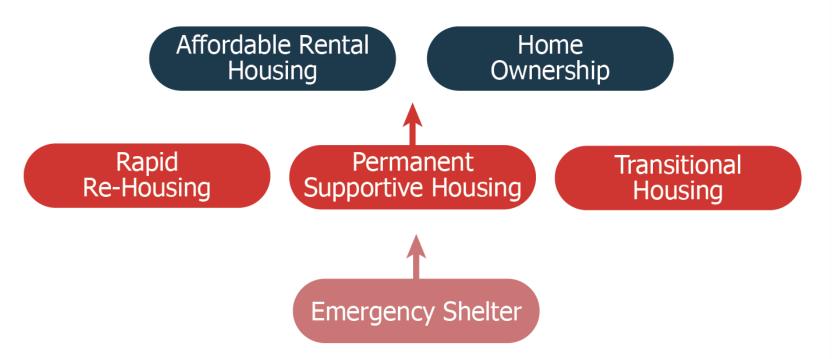
- Daily Maintenance Plan
- Triggers
- Early Warning Signs
- When Things are Breaking Down
- Crisis Plan and Post Crisis

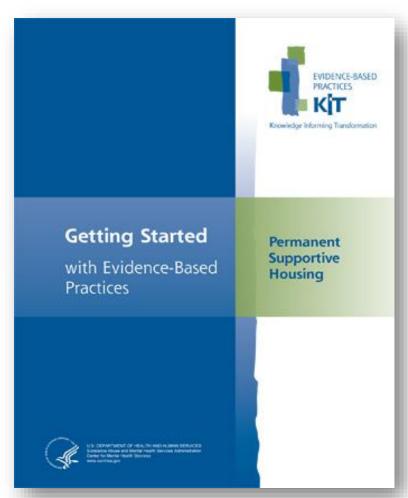
- Hope
- Personal Responsibility
- Education
- Self-advocacy
- Support



Stable Housing is Treatment

BUILDING A STRONG CONTINUUM OF HOUSING RESOURCES





RNR Model: Risk-Need-Responsivity

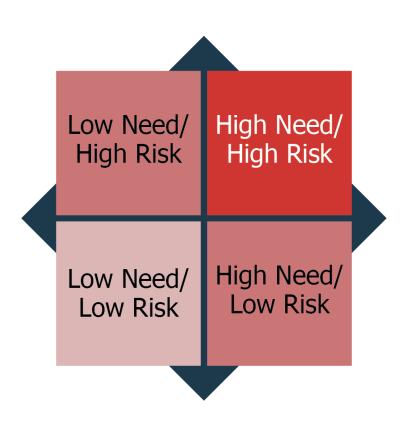
Major Risk Factors for Recidivism: Central Eight

Big Four

- History of antisocial behavior
- Antisocial personality pattern
- Antisocial cognition
- Antisocial associates

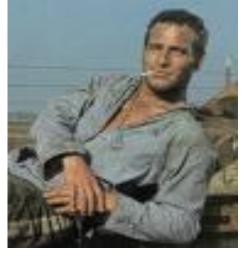
Moderate FourCan Be Protective Factors

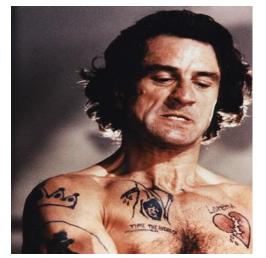
- Family circumstances
- School/Work
- Leisure/Recreation
- Substance Abuse



(Source: Andrews & Bonta, 2006)

RISK









NEED

Harm Reduction and Treatment Courts

- 1-Reduce harms by rigorously abiding by best practice standards
- 2-Reduce harmful drug use by providing effective treatment and recovery management
- 3-Focus on reducing harm to the individual, their family, and the community

Harm Reduction and Treatment Courts

4-Keep people in effective treatment long enough for them to find their path to a lifetime of recovery

5-Reduce systemic harms by providing a viable alternative to incarceration for people with SUD

6-Reduce overdose risk

Summary

Harm reduction practices have lifesaving public health value. However, not all practices can be directly facilitated in treatment courts. Those that can should be implemented with urgency.



Utilizing Incentives and Sanctions to Support Successful Outcomes in Treatment Court







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